



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>   (PTO-1449)				ATTY. DOCKET NO. 111828.120US1		SERIAL NO. 09/805,196  <div style="text-align: center; transform: rotate(-15deg);"> <b>RECEIVED</b>  <b>AUG 27 2001</b>  <i>Technology Center 2600</i> </div>	
				APPLICANT SMITHIES et al.			
				FILING DATE March 14, 2001		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
NV	5,872,848	02/16/99	Romney, et al.	380	25	02/18/97	
NV	4,872,848	10/10/89	Mouissie	439	135	11/03/88	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
NV	Leibowitz, Wendy R., "E-Signatures: Where's the Beef?" <u>WendyTech</u> , article, November 14, 2000, Legal Web Works, accessed August 21, 2001 < <a href="http://www.wendytech.com/articlessignature.htm">http://www.wendytech.com/articlessignature.htm</a> >						
NV	"Tax Filing Alternatives Grow in Popularity", <u>National Tax Services, Inc.</u> , IRS News Release #IR-93-17, Feb. 10, 1993, accessed August 21, 2001, < <a href="http://unclefed.com/Tax-News/1993/Nr93-17.htm">http://unclefed.com/Tax-News/1993/Nr93-17.htm</a> >						
NV	"Alternative Ways of Doing Business", <u>National Tax Services, Inc.</u> , IRS Fact Sheet #FS-93-6, December 1993, accessed August 21, 2001, < <a href="http://unclefed.com/Tax-News/1993/Nrfs93-6.htm">http://unclefed.com/Tax-News/1993/Nrfs93-6.htm</a> >						
NV	"Tax Administration: Continuing Problems Affect Otherwise Successful 1994 Filing Season", <u>General Government Division</u> , report, October 7, 1994, accessed August 21, 2001, < <a href="http://www.unclefed.com/GAOReports/gao95-5.htm">http://www.unclefed.com/GAOReports/gao95-5.htm</a> >						
EXAMINER	/Naresh Vig/			DATE CONSIDERED    09/25/2006			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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NV	LeJeune, Michelle, "Filing Taxes Electronically Easier But Still Has Bugs", article, 1998, Boulder County Business Report, accessed August 21, 2001, < <a href="http://www.bcbr.com/dec98/etax2.htm">http://www.bcbr.com/dec98/etax2.htm</a> >						
NV	"Artic Web Delivers On Its Promise for Secure Voice Payment Technology for m-Commerce", article, September 8, 2000, Articweb, accessed August 21, 2001, < <a href="http://articweb.com/news-080900.htm">http://articweb.com/news-080900.htm</a> >						
NV	"What is the Voice Signature Service@?", before March 2001, TradeHarbor, accessed August 22, 2001, < <a href="http://tradeharbor.com/final/flash_products.htm">http://tradeharbor.com/final/flash_products.htm</a> >						
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PATENT & TRADEMARK OFFICE 1605

U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
NV	5,544,255	08/06/96	Smithies, et al.			08/31/94
NV	5,647,017	07/08/97	Smithies, et al.			05/09/96
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FOREIGN PATENT DOCUMENTS							
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						Yes	No

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EXAMINER	/Naresh Vig/	DATE CONSIDERED	09/25/2006
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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/805,196
				Filing Date	March 14, 2001
				First Named Inventor	Christopher P. K. SMITHIES
				Art Unit	3629
				Examiner Name	Naresh Vig
Sheet	1	of	1	Attorney Docket Number	111828.121US1 (formerly 111828.120US1)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
NV		US-6,401,066-B1	06-04-2002	McIntosh	
NV		US-6,876,987-A1	08-01-2002	Bahler et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Naresh Vig/	Date Considered	09/25/2006
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